Introduction to Wireless Communications
Wireless Communication Systems

Network

LAN

Radio wave

Base station transceiver

LAN

Network

wire

wire
Wireless Technologies

- Cellular Systems
  - 1G, 2G, 2.5G (GPRS), 3G, B3G
- Satellite Systems
- Paging Systems (BB Call)
- Cordless Phone
- Wireless LAN (無線區域網路)
- Wireless Local Loop (WiMax)
- Bluetooth
- ZigBee
- Ultra Wide Band (UWB)
- ⋅⋅⋅ etc.
The IEEE 802 Family

- 802.1 => Spanning Tree Bridge
- 802.2 => Logical Link Control (LLC) Protocol
- 802.3 => CSMA/CD Networks (Ethernet) MAC Protocol
- 802.4 => Token Bus Networks MAC Protocol
- 802.5 => Token Ring Networks MAC Protocol
- 802.6 => Metropolitan Area Networks (MAN)
The IEEE 802 Family

- **802.11** => WLAN (wireless local area network)
  - 802.11b => 2.4GHz Band; 11 Mbps; direct-sequence
  - 802.11a => 5.0GHz Band; 54 Mbps; OFDM
  - 802.11g => 2.4GHz Band; 54 Mbps; OFDM
  - 802.11n

- **802.15** => WPAN (wireless personal area network)
  - 802.15.1 Bluetooth
  - 802.15.3 UWB (Ultra Wide Band)
  - 802.15.4 LR-WPAN (Low-Rate Wireless PAN)

- **802.16** => Fixed Broadband Wireless Access Systems
- **802.20** => Mobile Broadband Wireless Access Systems
ISM Band

- The industrial, scientific and medical (ISM) radio bands were originally reserved internationally for non-commercial use of RF electromagnetic fields for industrial, scientific and medical purposes.
- Free of license.
- The ISM bands are defined by the ITU-R in 5.138 and 5.150 of the Radio Regulations. Individual countries' use of the bands designated in these sections may differ due to variations in national radio regulations.
- 900 MHz, 1.8 GHz, 2.4 GHz, 5.8 GHz Bands.
- Most microwave ovens use 2.45 GHz.
ISM Band

- **902 to 928 MHz**
  - Spread spectrum 1 W
  - Microwave ovens 750 W
  - Industrial heaters up to 100 kW
  - Military radar up to 1000 kW

- **2.4 to 2.4835 GHz**
  - Spread spectrum 1 W
  - Microwave ovens 900 W

- **5.725 to 5.850 GHz**
  - Spread spectrum 1 W
Early Wireless Systems

- The first successful use of mobile radio dates from the late 1800s, when M. G. Marconi established a radio link between a land-based station and a boat sailing the English channel, over an 18-miles path.

- In 1934, 194 municipal police radio systems and 58 state police stations had adopted amplitude modulation (AM) mobile communication systems for public safety in the U.S.

- In 1935, Edwin Armstrong demonstrated frequency modulation (FM) for the first time. Since late 1930s, FM has been the primary modulation technique used for mobile communication systems throughout the world.
Early Wireless Systems

- The first public mobile phone service was the Mobile Telephone System (MTS) introduced in the United States in 1946, when FCC granted a licence to AT&T.
  - Operation was half duplex.
  - Call placement was manual operation.
  - Cover distances over 50Km.
  - Modulation was FM (frequency modulation).
  - 120KHz per channel.
- In 1950, the FCC doubled the number of mobile telephone channels, but with no new spectrum allocation.
  - 60 KHz per channel.
- By mid 1960s, the FM bandwidth of voice transmission was cut to 30 KHz.
Early Wireless Systems

- Improved Mobile Telephone System (IMTS) was introduced in 1969.
  - Full Duplex.
  - Automatic switching.
  - 450 MHz band.

- The cellular concept began to appear in Bell Laboratories proposals during the late 1940s.
  - Cellular concept is introduced because of limited spectrum.
  - Channels are reused when there is sufficient distance between the transmitters to prevent interference.

- AT&T proposed the concept of a cellular mobile system to the FCC in 1968.

- Cellular technology wasn’t available to implement cellular telephony until the late 1970s.
First Generation Cellular Systems

- Analog Voice Technology

- AMPS (advanced mobile phone service), introduced in 1983 in the USA.
  - 666 duplex channel.
  - 40 MHz of spectrum in the 800 MHz band.
  - 30 KHz for one way bandwidth.
  - In 1989, the FCC granted an additional 166 channels (10 MHz) to U.S. cellular service providers.
  - The forward and reverse channels in each pair are separated by 45 MHz.
## AMPS Frequency Allocation

### Reverse Channel

<table>
<thead>
<tr>
<th>Channel Number</th>
<th>Center Frequency (MHz)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 &lt;= N &lt;= 799</td>
<td>0.03N+825.0</td>
</tr>
<tr>
<td>990 &lt;= N &lt;= 1023</td>
<td>0.03(N-1023)+825.0</td>
</tr>
</tbody>
</table>

### Forward Channel

<table>
<thead>
<tr>
<th>Channel Number</th>
<th>Center Frequency (MHz)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 &lt;= N &lt;= 799</td>
<td>0.03N+870.0</td>
</tr>
<tr>
<td>990 &lt;= N &lt;= 1023</td>
<td>0.03(N-1023)+870.0</td>
</tr>
</tbody>
</table>

Channels 800 - 990 are unused.
First Generation Cellular Systems

- NMT-450 (Nordic Mobile Telephone), introduced in 1981, was adopted by European states. (25KHz)

- TACS (Total Access Communication System) was a very successful system in Great Britain. (25KHz)

- NTT (Nippon Telephone and Telegraph) was introduced in 1979. (25KHz)
First Generation Cellular System

Evolution of Mobile Communication

- AMPS (Advanced Mobile Phone Services)
- TACS (Total Access Communication System)
- NMT (Nordic Mobile Telephony)
- C450
- NTT-MTS (Nippon Telegraph & Telephone)

Challenges for a 2nd generation:
- cellular system
- digital transmission
- ciphering
- services similar to ISDN
- increased transmission quality
- international roaming
- reduced costs
Second Generation Cellular Systems

- Digital Technology

- US
  - A: USDC (IS-54/136), DCS1900, IS-95 (CDMA)
  - B: PACS

- Europe
  - A: GSM, DCS1800
  - B: CT2 (TDD), DECT (TDD)

- Japan
  - A: PDC
  - B: PHS (TDD)

- A: high speed, high BS power, low traffic density, few BSs.
- B: low speed, low BS power, high traffic density, many BSs.
## Second Generation Cellular Systems

**GSM - The Standard**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>(E-)GSM-900 (Uplink)</th>
<th>GSM-1800 (DCS, PCN)</th>
<th>GSM-1900 (PCS)</th>
<th>GSM-R</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequency Range (Uplink)</td>
<td>890 - 915 MHz</td>
<td>1710 - 1785 MHz</td>
<td>1850 - 1910 MHz</td>
<td>876 - 880 MHz</td>
</tr>
<tr>
<td></td>
<td>E-GSM: 880 - 890 Mhz</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Carrier Spacing</td>
<td>200 kHz</td>
<td>200 kHz</td>
<td>200 kHz</td>
<td>200 kHz</td>
</tr>
<tr>
<td>Duplex Spacing</td>
<td>45 MHz</td>
<td>95 MHz</td>
<td>80 MHz</td>
<td>45 MHz</td>
</tr>
</tbody>
</table>
High Speed Data Service

Three major standards:
- UMTS (Universal Mobile Telecommunication Standard)
  - In Japan: WCDMA.
- cdma2000 (IS-95 successor)
- TD-SCDMA: Time-Division Synchronous CDMA
Third Generation Cellular Systems

- **IMT-2000 Services**
  - Indoor office: 2M bps
  - Pedestrian: 384 kbps
  - Vehicular: 144 kbps
  - Satellite: 9.6 kbps

- **Multi-environment operations**
  - Mega-cell (100-500 km)
  - Macro-cell (<=35 km)
  - Micro-cell (<=1km)
  - Pico-cell (<=50m)
## Major Mobile Radio Standards in North America

<table>
<thead>
<tr>
<th>Standard</th>
<th>Type</th>
<th>Year of Introduction</th>
<th>Multiple Access</th>
<th>Frequency Band</th>
<th>Modulation</th>
<th>Channel Bandwidth</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMPS</td>
<td>Cellular</td>
<td>1983</td>
<td>FDMA</td>
<td>824-894 MHz</td>
<td>FM</td>
<td>30 kHz</td>
</tr>
<tr>
<td>NAMPS</td>
<td>Cellular</td>
<td>1992</td>
<td>FDMA</td>
<td>824-894 MHz</td>
<td>FM</td>
<td>10 kHz</td>
</tr>
<tr>
<td>USDC</td>
<td>Cellular</td>
<td>1991</td>
<td>TDMA</td>
<td>824-894 MHz</td>
<td>$\pi/4$-DQPSK</td>
<td>30 kHz</td>
</tr>
<tr>
<td>CDPD</td>
<td>Cellular</td>
<td>1993</td>
<td>FH/Packet</td>
<td>824-894 MHz</td>
<td>GMSK</td>
<td>30 kHz</td>
</tr>
<tr>
<td>IS-95</td>
<td>Cellular/PCS</td>
<td>1993</td>
<td>CDMA</td>
<td>824-894 MHz</td>
<td>QPSK/BPSK</td>
<td>1.25 MHz</td>
</tr>
<tr>
<td>GSC</td>
<td>Paging</td>
<td>1970s</td>
<td>Simplex</td>
<td>Several</td>
<td>FSK</td>
<td>12.5 kHz</td>
</tr>
<tr>
<td>POCSAG</td>
<td>Paging</td>
<td>1970s</td>
<td>Simplex</td>
<td>Several</td>
<td>FSK</td>
<td>12.5 kHz</td>
</tr>
<tr>
<td>FLEX</td>
<td>Paging</td>
<td>1993</td>
<td>Simplex</td>
<td>Several</td>
<td>4-FSK</td>
<td>15 kHz</td>
</tr>
<tr>
<td>DCS-1900 (GSM)</td>
<td>PCS</td>
<td>1994</td>
<td>TDMA</td>
<td>1.85-1.99 GHz</td>
<td>GMSK</td>
<td>200 kHz</td>
</tr>
<tr>
<td>PACS</td>
<td>Cordless/PCS</td>
<td>1994</td>
<td>TDMA/FDMA</td>
<td>1.85-1.99 GHz</td>
<td>$\pi/4$-DQPSK</td>
<td>300 kHz</td>
</tr>
<tr>
<td>MIRS</td>
<td>SMR/PCS</td>
<td>1994</td>
<td>TDMA</td>
<td>Several</td>
<td>16-QAM</td>
<td>25 kHz</td>
</tr>
<tr>
<td>iDen</td>
<td>SMR/PCS</td>
<td>1995</td>
<td>TDMA</td>
<td>Several</td>
<td>16-QAM</td>
<td>25 kHz</td>
</tr>
</tbody>
</table>
## Major Mobile Radio Standards in Europe

<table>
<thead>
<tr>
<th>Standard</th>
<th>Type</th>
<th>Year of Introduction</th>
<th>Multiple Access</th>
<th>Frequency Band</th>
<th>Modulation</th>
<th>Channel Bandwidth</th>
</tr>
</thead>
<tbody>
<tr>
<td>ETACS</td>
<td>Cellular</td>
<td>1985</td>
<td>FDMA</td>
<td>900 MHz</td>
<td>FM</td>
<td>25 kHz</td>
</tr>
<tr>
<td>NMT-450</td>
<td>Cellular</td>
<td>1981</td>
<td>FDMA</td>
<td>450-470 MHz</td>
<td>FM</td>
<td>25 kHz</td>
</tr>
<tr>
<td>NMT-900</td>
<td>Cellular</td>
<td>1986</td>
<td>FDMA</td>
<td>890-960 MHz</td>
<td>FM</td>
<td>12.5 kHz</td>
</tr>
<tr>
<td>GSM</td>
<td>Cellular /PCS</td>
<td>1990</td>
<td>TDMA</td>
<td>890-960 MHz</td>
<td>GMSK</td>
<td>200 kHz</td>
</tr>
<tr>
<td>C-450</td>
<td>Cellular</td>
<td>1985</td>
<td>FDMA</td>
<td>450-465 MHz</td>
<td>FM</td>
<td>20 kHz/10 kHz</td>
</tr>
<tr>
<td>ERMES</td>
<td>Paging</td>
<td>1993</td>
<td>FDMA</td>
<td>Several</td>
<td>4-FSK</td>
<td>25 kHz</td>
</tr>
<tr>
<td>CT2</td>
<td>Cordless</td>
<td>1989</td>
<td>FDMA</td>
<td>864-868 MHz</td>
<td>GFSK</td>
<td>100 kHz</td>
</tr>
<tr>
<td>DECT</td>
<td>Cordless</td>
<td>1993</td>
<td>TDMA</td>
<td>1880-1900 MHz</td>
<td>GFSK</td>
<td>1.728 MHz</td>
</tr>
<tr>
<td>DCS-1800</td>
<td>Cordless /PCS</td>
<td>1993</td>
<td>TDMA</td>
<td>1710-1880 MHz</td>
<td>GMSK</td>
<td>200 kHz</td>
</tr>
</tbody>
</table>
## Major Mobile Radio Standards in Japan

<table>
<thead>
<tr>
<th>Standard</th>
<th>Type</th>
<th>Year of Introduction</th>
<th>Multiple Access</th>
<th>Frequency Band</th>
<th>Modulation</th>
<th>Channel Bandwidth</th>
</tr>
</thead>
<tbody>
<tr>
<td>JTACS</td>
<td>Cellular</td>
<td>1988</td>
<td>FDMA</td>
<td>860-925 MHz</td>
<td>FM</td>
<td>25 kHz</td>
</tr>
<tr>
<td>PDC</td>
<td>Cellular</td>
<td>1993</td>
<td>TDMA</td>
<td>810-1501 MHz</td>
<td>π/4-DQPSK</td>
<td>25 kHz</td>
</tr>
<tr>
<td>NTT</td>
<td>Cellular</td>
<td>1979</td>
<td>FDMA</td>
<td>400/800 MHz</td>
<td>FM</td>
<td>25 kHz</td>
</tr>
<tr>
<td>NTACS</td>
<td>Cellular</td>
<td>1993</td>
<td>FDMA</td>
<td>843-925 MHz</td>
<td>FM</td>
<td>12.5 kHz</td>
</tr>
<tr>
<td>NTT</td>
<td>Paging</td>
<td>1979</td>
<td>FDMA</td>
<td>280 MHz</td>
<td>FSK</td>
<td>12.5 kHz</td>
</tr>
<tr>
<td>NEC</td>
<td>Paging</td>
<td>1979</td>
<td>FDMA</td>
<td>Several</td>
<td>FSK</td>
<td>10 kHz</td>
</tr>
<tr>
<td>PHS</td>
<td>Cordless</td>
<td>1993</td>
<td>TDMA</td>
<td>1895-1907 MHz</td>
<td>π/4-DQPSK</td>
<td>300 kHz</td>
</tr>
</tbody>
</table>
Traditional Cellular Networks
3G Cellular Network - WCDMA
Hierarchical Cellular Networks

- "MEGA" CELL
- "MACRO" CELL
- "MICRO" CELL
- "PICO" CELL

Global

Regional

Local Area

Indoor office/Home
The Cellular Jargon

- **Base Station** (*Access Point, Node B* in WCDMA) (BS)
  - A fixed station in a mobile radio system used for radio communication with mobile stations. Base stations are located at the center or on the edge of a coverage region and consist of radio channels and transmitter and receiver antennas mounted on a tower.

- **Mobile Station** (MS)
  - A station in the cellular radio service intended for use while in motion at unspecified locations. Mobile stations may be hand-held personal units or installed in mobiles.

- **Mobile Switching Center** (MSC)
  - Switching center which coordinated the routing of calls in a large service area. In a cellular radio system, the MSC connects the cellular base stations and the mobiles to the PSTN. (*Public Switched Telephone Network.*)
The Cellular Jargon

- **Roaming**
  - A MS which operates in a service area (market) other than that from which service has been subscribed.

- **HLR/VLR (Home Location Register; Visitor Location Register)**

- **Handover or Handoff**
  - The process of transferring a MS from a channel or a BS to another.
  - **Hard handoff**: Assignment of different radio channel during hand off.
  - **Soft handoff**: The ability to select between the instantaneous received signals from a variety of base stations.
The Cellular Jargon

- **Forward channel (Downlink channel):** BS -> MS
- **Reverse channel (Uplink channel):** MS -> BS

- **Full duplex**
  - TX & RX are allowed simultaneously
    - GSM, IS-95, CT2, DECT

- **Half duplex**
  - TX or RX is allowed at any given time
    - radio taxi, police radio

- **Simplex**
  - only one-way transmission
    - paging
The Cellular Jargon

- **Control Channel**: Radio channels used for transmission of call setup, call request, call initiation, and other beacon or control purposes.

- **Page**: A brief message which is broadcast over the entire service area, usually in a simulcast fashion by many base stations at the same time.

- **Transceiver**: A device capable of simultaneously transmitting and receiving radio signals.
Frequency Division Duplexing (FDD)

- Provides simultaneous radio transmission channels for the users and the base station.
- At the base station, separate transmit and receive antennas are used to accommodate the two separate channels.
- At the subscriber unit, a single antenna is used for both transmission to and reception from the base station, and a device called a *duplexer* is used to enable the same antenna to be used for simultaneous transmission and reception.
- It is necessary to separate the transmit and receive frequencies so that the duplexer can provide sufficient isolation while being inexpensively manufactured.
- FDD is used exclusively in *analog* mobile radio systems.
Time Division Duplexing (TDD)

- TDD uses the fact that it is possible to share a single radio channel in time so that a portion of the time is used to transmit from the base station to the mobile, and the remaining time is used to transmit from the mobile to the base station.

- If the data transmission rate of the channel is much greater than the end-user’s data rate, it is possible to store information bursts and provide the appearance of full duplex operation to a user, even though there are not two simultaneous radio transmissions at any instant of time.

- TDD is only possible with digital transmission formats and digital modulation, and is very sensitive to timing.
The Cellular Concept
Table of Contents

- Frequency Reuse
- Channel Assignment Strategies
- Handoff Strategies
- Interference
- Power Control
Frequency Reuse
Cellular System Design Considerations

- To solve problems of spectral congestion and user capacity.
- Replacing a single, high power transmitter with many low power transmitters.
- Neighboring base stations are assigned different groups of channels so that the interference between base stations is minimized.
- Available Channels are distributed throughout the geographic region and may be reused as many times as necessary.
- With fixed number of channels to support an arbitrarily large number of subscribers.
Concepts of Frequency Reuse
Cellular Networks and Frequency Reuse

- One important characteristic of cellular networks is the **reuse of frequencies** in different cells.
- By reuse frequencies, a high capacity can be achieved.
- However, the reuse distance has to be high enough, so that the interference caused by subscribers using the same frequency (or an adjacent frequency) in another cells is sufficiently low.
- To guarantee an appropriate speech quality, the **carrier-to-interference-power-ratio (CIR)** has to exceed a certain threshold \( \text{CIR}_{\text{min}} \) which is 9 dB for the GSM system.
Reuse Factor

Due to the fact that the hexagonal geometry has exactly six equidistant neighbors and that the lines joining the centers of any cell and each of its neighbors are separated by multiples of 60 degrees, there are only certain cluster sizes and cell layouts which are possible.

Reuse Factor \(= i^2 + ij + j^2\); \(i, j\) are non-negative integers.
Channel Assignment Strategies
Channel Assignment Strategies

- **Fixed** Channel Allocation
- **Dynamic** Channel Allocation
- Hybrid Channel Allocation
- Borrowed Channel Allocation
Fixed Channel Assignment

- Each cell is allocated a predetermined set of voice channels.
- Any call attempt within the cell can only be served by the unused channels in that particular cell.
- *Probability of blocking* is high.
Dynamic Channel Assignment Strategy

- Channels are not allocated to different cells permanently.
- Each time a call request is made, the serving base station requests a channel from the MSC.
- The MSC allocates a channel to the requested cell following an algorithm that takes into account the likelihood of future blocking within the cell, the frequency of use of the candidate channel, the reuse distance of the channel, and other cost functions.
- MSC only allocates a given frequency if that frequency is not presently in use in the cell or any other cell which falls within the minimum restricted distance of frequency reuse to avoid co-channel interference.
MSC has to collect real-time data on channel occupancy, traffic distribution, and radio signal strength indications (RSSI) of all channels on a continuous basis.

Reduce the likelihood of blocking at the expense of increasing the storage and computational load.
Borrowing Strategy

- Modified from fixed channel assignment strategies.

- A cell is allowed to borrow channels from a neighboring cell if all of its own channels are already occupied.

- The MSC supervises such borrowing procedures and ensures that the borrowing of a channel does not disrupt or interfere with any of the calls in progress in the donor cell.
Handoff Strategies
Handoff / Handover

- In a cellular network, the process to transfer the ownership of a MS from a BS to another BS.
- Handoff not only involves identifying a new BS, but also requires that the notice and control signals be allocated to channels associated with the new base station.
- Usually, priority of handoff requests is higher than call initiation requests when allocating unused channels.
- Handoffs must be performed successfully and as infrequently as possible and be imperceptible to the users.
Handoff / Handover

Handover Occasions

- Bad signal quality on current channel
  - noise or interference
- Traffic overload in current cell
  - load balancing

Handover Indicator: The parameters to monitor to determine HO occasion

- RSSI, in ensemble average sense.
- Bit Error Rate (BER)/Packet Error Rate (PER), more accurate.
Need to specify an optimum signal level to initiate a handoff.

Minimum useable signal for acceptable voice quality at the base station receiver is normally taken as between -90 dBm to -100 dBm.

\[ \Delta = Pr_{\text{handoff}} - Pr_{\text{minimum-useable}} \]

If \( \Delta \) is too large, unnecessary handoffs may occur.

If \( \Delta \) is too small, there may be insufficient time to complete a handoff.
Illustration of a handoff scenario at cell boundary

(a) Improper handoff situation
- Level at point A
- Handoff threshold
- Minimum acceptable signal to maintain the call
- Level at point B (call is terminated)

(b) Proper handoff situation
- Level at point B
- Level at which handoff is made (call properly transferred to BS 2)

BS 1
BS 2
Figure (a) demonstrates the case where a handoff is not made and the signal drops below the minimum acceptable level to keep the channel active.

The dropped call event in figure (a) can happen when there is an excessive delay by the MSC in assigning a handoff or when the threshold $\Delta$ is set too small for the handoff time the system.

Excessive delays may occur during high traffic conditions due to computational loading at the MSC or due to the fact that no channels are available on any of the nearby base stations.
Handoff / Handover

- During handoff, it is important to ensure that the drop in the measured signal level is not due to momentary fading and that the mobile is actually moving away from the serving base station.
- The base station monitors the signal level for a certain period of time before a hand-off is initiated.
- The time over which a call may be maintained within a cell, without hand-off, is called the *dwell time*.
Handoff in 1st Generation Cellular Systems

- Signal strength measurements are made by the base stations and supervised by the MSC.
- Base station monitor the relative location of each user.
- *Locator receiver* is used to determine signal strengths of users in neighboring cells and is controlled by the MSC.
- Based on the information from locator receiver, MSC decides if a handoff is necessary or not.
Handoff decisions are mobile assisted.

In *mobile assisted handoff* (MAHO), every mobile measures the received power from surrounding base stations and reports the results to the serving base station.

MAHO enables the call to be handed over at a much faster rate.
Mobile Assistant Handover – more efficient.

GSM:
- MS monitors all BSs
- MS reports the measurements to the BS
- MSC makes decision

USDC (IS-54/136):
- BSs monitor all MSs.
- When a MS is leaving the cell, the BS sends it a measurement order
- The MS begins its measurement and reports
- MSC makes the Handover decision.
Handover Algorithms (IS-95 vs. WCDMA)

- Basic IS-95 handover algorithm uses absolute threshold algorithm.
- WCDMA handover algorithm uses relative threshold algorithm.
Absolute Threshold Handover

Eb/No

Th_Add

Th_Drop

Time

Neighbor Set | Candidate Set | Active Set | Neighbor Set

(1) (2) (3) (4) (5) (6)
Basic IS-95 HO Algorithm

1. Pilot strength exceed T_Add. MS sends a Pilot Strength Measurement Message and transfers pilot to the Candidate Set.
2. BS sends a Handover Direction Message.
3. Mobile station transfers pilot to the Active Set and sends a Handover Completion Message.
4. Pilot strength drops below T_Drop. MS starts the handover drop timer.
5. Handover drop timer expires. MS sends a Pilot Strength Measurement Message.
6. BS sends a Handover Direction Message. MS moves pilot from the Active Set to the Neighbor Set and sends a Handover Completion Message.
Problems with Absolute Threshold Algorithm

- Some locations in the cell receive only weak pilots (requiring a lower handover threshold).

- Some locations in the cell receive a few strong and dominant pilots (requiring a higher handover threshold).
Relative Threshold HO

- **Ec/Io**: Relative threshold for handover.
- **Strongest Pilot in Active Set**: Indicates the strongest pilot in the active set.
- **Window_Add**: Time window for adding a new cell.
- **Window_Drop**: Time window for dropping an existing cell.
- **T_Add**: Time for adding a cell.
- **T_Drop**: Time for dropping a cell.
- **MS_Ec/Io**: Ec/Io of the MS.
- **AS_Th**: Threshold for the Active Set.
- **AS_Th_Hyst**: Threshold hysteresis for the Active Set.
Active vs. Monitored Set

- Active Set (AS): User information is sent from all these cells and they are simultaneously demodulated and coherently combined.

- Monitored Set (MS): Cells, which are not included in the active set, but are monitored according to a neighboring list assigned by the UTRAN.
Soft Handover Algorithm
(for Active Set limit = 2)
Intersystem Handoff

- Intersystem handoff happens when a mobile moves from one cellular system to a different cellular system.
- The MSCs involved in the two cellular systems are different.
- Compatibility between the two MSCs must be determined.
Prioritizing Handover

**Guard Channel Concept**: Use reserved guard channel for handover.
- Disadvantage: Reducing the total carrier traffic.

**Queuing of Handover Requests**: To prevent forced termination by queuing the request.
- Queuing of handoffs is possible due to the fact that there is a finite time interval between the time the received signal level drops below the handoff threshold and the time the call is terminated due to insufficient signal level.
Frequency Utilization with WCDMA

Another UMTS operator

Operator band 15 MHz

3 cell layers

Power

5.0-5.4 MHz

4.2-5.0 MHz

4.2-5.0 MHz

5.0-5.4 MHz

Another UMTS operator

Uplink: 1920-1980 MHz
Downlink: 2110-2170 MHz
**Intra-Frequency Handoff**

- **Hard Handoff**: assign different radio channels during a handoff.

- **Soft Handoff**: the ability to select between the instantaneous received signals from a variety of base stations.
  - Soft handoff exploits macroscopic space diversity provided by the different physical locations of the base stations.

- **Softier Handover**: A mobile station is in the overlapping cell coverage area of two adjacent sectors of a base station.
Interference
The major source limiting cellular system capacity comes from interferences (as oppose to noise).

Interference has been recognized as a major bottleneck in increasing capacity and is often responsible for dropped calls.

Major Types of Interference:

- Co-Channel Interference
- Adjacent Channel Interference
  - Intra-Cell Type
  - Inter-Cell Type
Co-channel Cells and Interference

- In a given coverage area, there are several cells that use the same set of frequencies. These cells are called *co-channel cells*.
- The interference between signals from co-channel cells is called *co-channel interference*.
- Unlike thermal noise which can be overcome by increasing the signal-to-noise ratio (SNR), co-channel interference can’t be overcome by simply increasing the carrier power because an increase in carrier power increases the interference to neighboring co-channel cells.
- To reduce co-channel interference, co-channel cells must be physically separated by a minimum distance.
Adjacent Channel Interference

- Adjacent channel interference results from imperfect receiver filters which allow nearby frequencies to leak into the passband.
- Adjacent channel interference can be minimized through careful filtering and channel assignments.
- Channels are allocated such that the frequency separation between channels in a given cell is maximized.
Near-Far Effect

- A nearby transmitter (which may or may not be of the same type as that used by the cellular system) captures the receiver of the subscriber.
- Alternatively, the near-far effect occurs when a mobile close to a base station transmits on a channel close to one being used by a weak mobile. The base station may have difficulty in discriminating the desired mobile user from the close adjacent channel mobile.
Power Control
Power Control for 2G Cellular Systems

- Power levels transmitted by subscriber unit are under control by the serving base stations.
- Power control is to ensure that each mobile transmits the smallest power necessary to maintain a good quality link on the reverse channel.
- Power control not only helps prolong battery life for the subscriber unit, but also dramatically reduces the reverse channel S/I in the system.
Tight and fast power control is perhaps the most important aspect in WCDMA in particular on the uplink. Without it, a single overpowered mobile could block a whole cell.

Near-Far problem of CDMA: A MS close to the base station may be overpowered and block a large part of the cell.

Power control in WCDMA:
- Open-loop power control
- Close-loop power control
  - Inner-loop power control
  - Outer-loop power control
Open Loop Power Control in WCDMA

- Attempt to make a rough estimation of path loss by measuring downlink beacon signal.
- Disadvantage: Far too inaccurate, because fast fading is essentially uncorrelated between uplink and downlink, due to the large frequency separation of uplink and downlink band of the WCDMA FDD mode.
- Open-loop power control is used in WCDMA to provide a coarse initial power setting of the MS at the beginning of a connection.
Outer Loop Power Control in WCDMA

- To adjust the target SIR setpoint in the BS according to the individual radio link quality requirements, usually defined as BER or FER.
- The required SIR for FER depends on the mobile speed, multipath profile, and data rate.
- Should the transmission quality be decreasing, the Radio Network Controller (RNC) will command the Node B to increase the target SIR.
- Outer loop power control is implemented in RNC because there might be soft handover combining.
Base station performs frequent estimates of the received Signal-to-Interference Ratio (SIR) and compares it to a target SIR.

- If the measured SIR is higher than the target SIR, the base station will command the MS to lower the power.
- If SIR is too low, it will command the MS to increase its power.

The power control is operated at a rate of 1500 times per second.
Inner-loop Power Control in WCDMA

- Adopt same techniques as those used in uplink.
- Operate at a rate of 1500 times per second.

There is no near-far problem in downlink.

Purposes for downlink closed-loop power are:
- Provide a marginal amount of additional power to MS at the cell edge as they suffer from increased other-cell interference.
- Enhancing weak signals caused by Rayleigh fading at low speeds when other error-correcting methods (interleaving and error correcting codes) doesn’t work effectively.
Improving Capacity in Cellular Systems
System Expansion Techniques

- Adding New Channels
- Frequency borrowing
- Cell Splitting
- Sectoring / Sectorization
- Change of Cell Pattern
Cell Splitting

Cell splitting is the process of subdividing a congested cell into smaller cells, each with its own base station and a corresponding reduction in antenna height and transmitter power.

Cell splitting increases the capacity of a cellular system since it increases the number of times that channels are reused.
Cell Splitting

Cell splitting $\implies$ small cells (microcells)

More cells in the service area, more capacity.
Cell Splitting (Hot Spot)

High traffic in this area
The transmit power of the split cell must be reduced.

For example, if new cell radius is half of that of old cell and the path loss exponent \( n = 4 \):

\[
\begin{align*}
P_r [\text{at old cell boundary}] & \propto P_{t1} R^{-n} \\
P_r [\text{at new cell boundary}] & \propto P_{t2} (R/2)^{-n} \\
\Rightarrow P_r [\text{at new cell boundary}] & = P_r [\text{at old cell boundary}] \\
P_{t2} & = \frac{P_{t1}}{16}
\end{align*}
\]
The technique for decreasing co-channel interference and thus increasing system capacity by using directional antennas is called *sectoring*.

The factor by which the co-channel interference is reduced depends on the amount of sectoring used.

- # of antenna ↑, # of handover ↑, trunking efficiency ↓
Sectoring

6 sectors, $BW = 60^\circ$

3 sectors, $BW = 120^\circ$
Chang of cell Pattern

N=7, ⇒ \( C_T/7 \) channels per cell
high SIR

N=3, ⇒ \( C_T/3 \) channels per cell
low SIR (⇐ by-product)